



Attorney's Docket No: C1040.70012US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Heather L. Davis et al.
Serial No.: 10/644,267
Conf. No.: 6263
Filed: August 20, 2003
For: NUCLEOTIDE VECTOR, COMPOSITION CONTAINING SUCH VECTOR
AND VACCINE FOR IMMUNIZATION AGAINST HEPATITIS

Examiner: Not yet assigned
Art Unit: 1632

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 18 day of October, 2004.

Helen C. Lockhart, Ph.D., Reg. No. 39,248

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

This is in response to the Notice to File Missing Parts of Non-Provisional Application that was mailed from the United States Patent and Trademark Office on September 17, 2004.

Enclosed is a check in the amount of \$956.00 to cover the cost of the basic filing fee (\$790.00), late oath or declaration Surcharge (\$130.00) and extra claim fee (\$36.00).

Accordingly, Applicants believe that the enclosed check is responsive to the Notice to File Missing Parts.

If any other information is needed, please contact the undersigned attorney by phone (617) 646-8000 to expedite the further prosecution of this patent application. If the enclosed fees are not sufficient, the balance may be charged to our Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,



Helen C. Lockhart, Reg. No. 39,248
WOLF, GREENFIELD & SACKS, P.C.
600 Atlantic Avenue
Boston, Massachusetts 02210
Tel: (617) 646-8000

Docket No.: C1040.70012US00
Date: October 28, 2004
x11/17/04x



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UNITED STATES PATENT AND TRADEMARK OFFICE

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The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 28 day of October, 2004.

Helen C. Lockhart, Ph.D., Reg. No. 39,248

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Transmitted herewith are the following document(s):

- [X] Copy of Notice to File Missing Parts of Non-provisional Application;
- [X] Response to Notice to File Missing Parts;
- [X] Supplemental Information Disclosure Statement and PTO Form 1449;
- [X] Check in the amount of \$956.00; and
- [X] Return Receipt Postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 646-8000, Boston, Massachusetts.

A check in the amount of \$956.00 is enclosed to cover the cost of the basic filing fee (\$790.00), late oath or declaration Surcharge (\$130.00) and extra claim fee (\$36.00). If the fees are insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,



Helen C. Lockhart, Reg. No. 39,248
WOLF, GREENFIELD & SACKS, P.C.
600 Atlantic Avenue
Boston, Massachusetts 02210
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Docket No.: C1040.70012US00
Date: October 28, 2004
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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/644,267	08/20/2003	Heather Lynn Davis	C1040.70012US00

CONFIRMATION NO. 6263

FORMALITIES LETTER



OC000000013828512

Helen C. Lockhart
Wolf, Greenfield & Sacks, P.C.
Federal Reserve Plaza
600 Atlantic Avenue
Boston, MA 02210

Date Mailed: 09/17/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/02/2004 FFANAIA2 00000028 10644267

FILED UNDER 37 CFR 1.53(b)

01 FC:1001	790.00 OP
02 FC:1051	130.00 OP
03 FC:1202	36.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$36 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$936 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$36

- \$36 for 2 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE